

# Final report Tuhinga whakamutunga

Aviation inquiry AO-2019-002 Bombardiers DHC-8-311, ZK-NEH and ZK-NEF 'Loss of separation' near Wellington, New Zealand 12 March 2019

**April 2022** 



# The Transport Accident Investigation Commission Te Kōmihana Tirotiro Aituā Waka

## No repeat accidents – ever!

"The principal purpose of the Transport Accident Investigation Commission (TAIC) shall be to determine the circumstances and causes of accidents and incidents with a view to avoiding similar occurrences in the future, rather than to ascribe blame to any person."

Transport Accident Investigation Commission Act 1990, s4 Purpose

The Transport Accident Investigation Commission is an independent Crown entity and standing commission of inquiry. We investigate selected maritime, aviation and rail accidents and incidents that occur in New Zealand or involve New Zealand-registered aircraft or vessels.

Our investigations are for the purpose of avoiding similar accidents in the future. We determine and analyse contributing factors, explain circumstances and causes, identify safety issues, and make recommendations to improve safety. Our findings cannot be used to pursue criminal, civil, or regulatory action.

At the end of every inquiry, we share all relevant knowledge in a final report. We use our information and insight to influence others in the transport sector to improve safety, nationally and internationally.

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## **Key Commission personnel**

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Chief Investigator of Accidents	Harald Hendel
Investigator-in-Charge for this inquiry	Barry Stephenson
Commission General Counsel	Cathryn Bridge

## Notes about Commission reports Kōrero tāpiri ki ngā pūrongo o te Kōmihana

## **Citations and referencing**

The citations section of this report lists public documents. Documents unavailable to the public (that is, not discoverable under the Official Information Act 1982) are referenced in footnotes. Information derived from interviews during the Commission's inquiry into the occurrence is used without attribution.

## Photographs, diagrams, pictures

The Commission owns the photographs, diagrams and pictures in this report unless otherwise specified.

## Verbal probability expressions

For clarity, the Commission uses standardised terminology where possible.

One example of this standardisation is the terminology used to describe the degree of probability (or likelihood) that an event happened, or a condition existed in support of a hypothesis. The Commission has adopted this terminology from the Intergovernmental Panel on Climate Change and Australian Transport Safety Bureau models. The Commission chose these models because of their simplicity, usability, and international use. The Commission considers these models reflect its functions. These functions include making findings and issuing recommendations based on a wide range of evidence, whether or not that evidence would be admissible in a court of law.

Terminology	Likelihood	Equivalent terms	
Virtually certain	> 99% probability of occurrence	Almost certain	
Very likely	> 90% probability	Highly likely, very probable	
Likely	> 66% probability	Probable	
About as likely as not	33% to 66% probability	More or less likely	
Unlikely	< 33% probability	Improbable	
Very unlikely	< 10% probability	Highly unlikely	
Exceptionally unlikely	< 1% probability		



Figure 1: ZK-NEF Bombardier DHC-8-311 – Air New Zealand

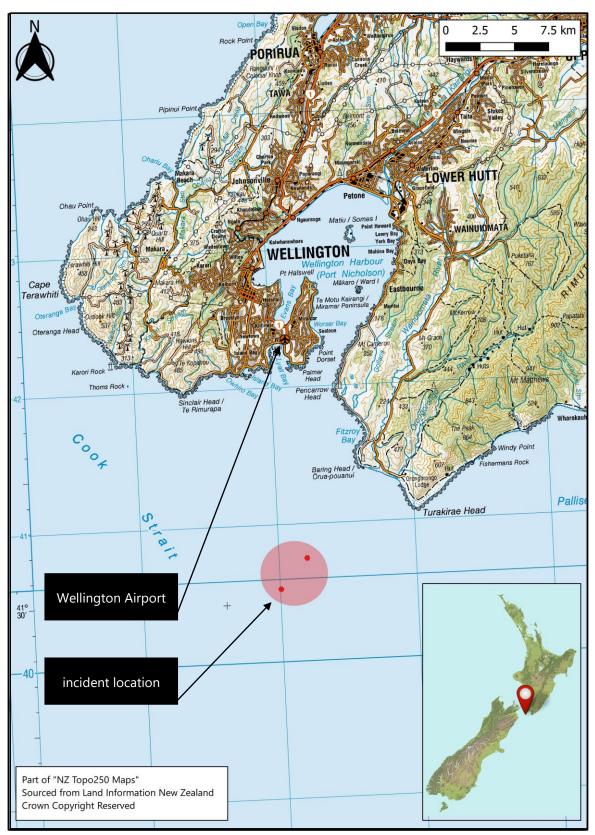


Figure 2: Location of occurrence

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## **1 Executive summary** Tuhinga whakarāpopoto

## What happened

- 1.1. On 12 March 2019, three aeroplanes on scheduled passenger flights were sequenced to land on Runway 34 at Wellington International Airport. The first aeroplane in the sequence, an Airbus A320, was approaching from the south-west. The other two aeroplanes, both Bombardier DHC-8-311s (Dash-8s), were joining from the north-east.
- 1.2. When the first Dash-8 aeroplane (callsign LINK235) was parallel with the runway, the flight crew requested approval for a visual approach, rather than a full instrument procedure. This was approved and the aeroplane continued downwind to position behind the A320.
- 1.3. The second Dash-8 (callsign LINK285), following about two minutes behind the first, also requested a visual approach. This was approved to follow the first Dash-8. However, the second Dash-8 identified the A320 as the Dash-8, then turned towards the runway to position behind the A320. This put it into a conflicting flight path with the first Dash-8.
- 1.4. As the two Dash-8s approached each other, the air traffic controllers were alerted to a potential conflict by an automatic short-term conflict alert warning presented on their radar displays. The approach controller tried to resolve the conflict but could not contact the second Dash-8. They then contacted the Wellington tower controller. The Wellington tower controller broadcast a message to resolve the conflict. At about the same time the respective Dash-8 flight crews saw each other, and both took evasive action to ensure adequate separation was maintained. While taking this evasive action, the flight crews of both Dash-8s received automatically generated, traffic-collision-avoidance alert messages from their onboard systems that advised them to take evasive action to avoid conflict.
- 1.5. Both Dash-8 flight crews avoided the potential conflict and all three aeroplanes landed without further incident.

## Why it happened

- 1.6. The Transport Accident Investigation Commission (Commission) **found** that the crew of LINK285 mistakenly identified another aeroplane in the sequence to land for the preceding aeroplane they had been instructed to follow. The Commission **found** that the flight crew had insufficient situational awareness in relation to their position in the circuit pattern before they took on the responsibility of maintaining their visual separation from the aeroplane ahead. The lighting and visual conditions prevailing at the time made it more difficult for the LINK285 flight crew to visually identify the preceding aeroplane, and they had not used other means they had available to validate their visual interpretation.
- 1.7. In addition, the Commission **found** that the automatic and human defences incorporated into the air traffic control and aircraft systems detected the potential conflict and prevented the situation escalating.

- 1.8. The Commission **found three safety issues**. Two safety issues were related to the situation where a flight operating under instrument flight rules changed to make a visual approach to land. The first of these was that critical information for a flight crew to conduct a visual approach was not required to be passed on to the flight crew. The second was that air traffic control procedures could create a situation where an approach controller would be unable to contact a flight crew when the controller was still responsible for monitoring that flight crew's compliance with an instruction. A third safety issue was that, after a serious incident, the operator's current practice allowed potentially critical evidence contained in two separate aircraft cockpit voice recorders to be lost.
- 1.9. The Commission considered that the actions taken by the respective operators adequately addressed these safety issues, so has not made any **safety recommendations**.

#### What we can learn

- 1.10. The visual identification of other aircraft can be challenging. Pilots should use all available resources to build situational awareness.
- 1.11. When a controller issues a clearance, it is important to monitor the flight crew's initial compliance to check it has been interpreted as intended.
- 1.12. Traffic Collision Avoidance System equipment is an effective defence against mid-air collisions, and its display is also a useful instrument that flight crew can use for their situational awareness.

#### Who may benefit

1.13. Commercial pilots, air service operators, air traffic service providers and air traffic controllers will benefit from this report.

# 2 Factual information Pārongo pono

### **Narrative**

- 2.1. On 12 March 2019 at 1732<sup>1</sup>, three aeroplanes on scheduled passenger flights were sequenced to land on Runway 34<sup>2</sup> at Wellington International Airport. The first aeroplane in the sequence, was an Airbus A320. Two Bombardier DHC-8-311 (Dash-8) aeroplanes operated by Air Nelson (the operator) were conducting a visual approach<sup>3</sup> under instrument flight rules<sup>4</sup> (IFR) to follow the Airbus. The rear aeroplane in the approach sequence of three mistakenly turned base early; this set its flight path to conflict with the aeroplane ahead.
- 2.2. The air traffic controllers were alerted to the potential conflict but were unable to contact the rear aeroplane's flight crew. The onboard Traffic Collision Avoidance Systems<sup>5</sup> (TCASs) fitted to the Dash-8 aeroplanes detected the potential for a collision and issued TCAS Resolution Advisory<sup>6</sup> (TCAS-RA) messages to the flight crews to take evasive action. A collision was avoided and both aeroplanes landed without further incident.
- 2.3. Both aeroplanes were scheduled passenger flights operating under IFR in Class C airspace.<sup>7</sup>

#### The approach sequence

2.4. The two Dash-8 aircraft were following an IFR approach on a standard arrival route (STAR) called DOGAD THREE ALFA to Runway 34 (see Appendix 1). The last phase of the STAR from the TURAK waypoint was changed to a visual approach with a standard right-hand joining pattern. This continued the downwind leg from TURAK followed by a right turn onto the base leg then right again onto a final leg that would line up the aeroplane for the runway centreline (see Figure 3). The circular marked points in Figure 3 identify waypoints used by air traffic controllers to manage air traffic in their areas. In this incident a third aeroplane was sequenced ahead of the two Dash-8 aeroplanes. The approach controller had set the landing sequence for Runway 34 with an Airbus

<sup>&</sup>lt;sup>1</sup> Times are in New Zealand daylight time (co-ordinated universal time + 13 hours) and expressed in the 24-hour format.

<sup>&</sup>lt;sup>2</sup> Runways are identified by their magnetic alignment, rounded to the nearest 10° increment. Runway 34 is aligned about 340° magnetic.

<sup>&</sup>lt;sup>3</sup> An approach to a runway at an airport conducted under instrument flight rules, but where the pilot proceeds by visual reference with the ground and clear of clouds to the airport.

<sup>&</sup>lt;sup>4</sup> Rules that allow a properly equipped aircraft to be flown under instrument meteorological conditions (conditions expressed in terms of visibility, distance from cloud, and ceiling less than the minima specified for visual meteorological conditions).

<sup>&</sup>lt;sup>5</sup> A Traffic Collision Avoidance System is based on secondary surveillance radar (SSR) transponder signals, and operates independently of ground-based equipment to provide advice to pilots on potential conflicting aircraft that are equipped with SSR transponders.

<sup>&</sup>lt;sup>6</sup> An indication given to flight crew recommending a manoeuvre intended to provide separation from all threats; or a manoeuvre restriction intended to maintain existing separation.

<sup>&</sup>lt;sup>7</sup> Class C airspace is a type of controlled airspace. For more details see Civil Aviation Rule 71.105. https://www.aviation.govt.nz/assets/rules/consolidations/Part\_071\_Consolidation.pdf

A320 (JST290) as 'number one', the Dash-8 LINK235<sup>8</sup> as 'number two' and the rear Dash-8 LINK285 as 'number three'.

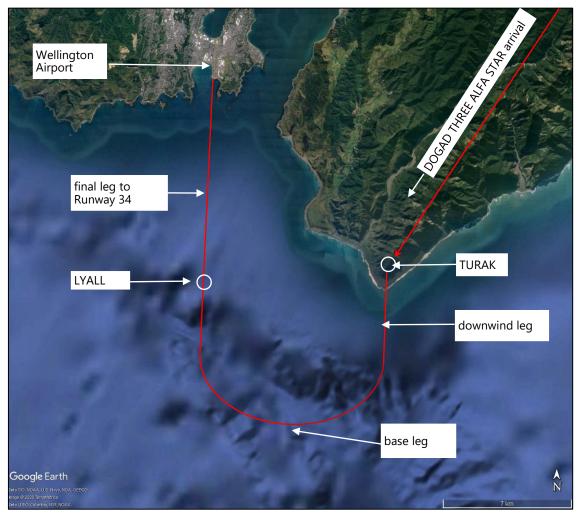


Figure 3: Wellington Airport, traffic pattern Runway 34

#### Positions immediately before the conflict

2.5. The following descriptions are of the aircraft positions at 1730:45 as shown in Figure 4. A more detailed timeline is provided for reference in Appendix 2.

#### JST290 (No.1)

- 2.6. The first aeroplane in the approach sequence was JST290, an Airbus A320 scheduled flight from Christchurch to Wellington. JST290 was cleared to approach via a different STAR from the south-west to land on Runway 34.
- 2.7. At 1730:45 JST290 was established on its final approach and about seven nautical miles<sup>9</sup> (nm) from the threshold of Runway 34. It was on a northerly heading, descending through an altitude of 2,275 feet.<sup>10</sup>

<sup>&</sup>lt;sup>8</sup> This report has referred to the aircraft involved by their call signs rather than their flight numbers to match extracts taken from controller recordings.

<sup>&</sup>lt;sup>9</sup> Distances in aviation are measured in nautical miles. One nautical mile =1.852 kilometres.

<sup>&</sup>lt;sup>10</sup> Altitude is referenced to feet above mean sea level, in accordance with Annex 2 to the Convention on International Civil Aviation.

#### LINK235 (No.2)

- 2.8. The second aeroplane in the sequence was LINK235, a Dash-8 scheduled flight from Rotorua to Wellington. LINK235 had been cleared to approach from the north-east via the DOGAD THREE ALFA STAR.
- 2.9. LINK235 was following the STAR when the Wellington approach controller advised that a visual approach<sup>11</sup> was available. Once the flight crew of LINK235 were satisfied that visual conditions were suitable, the pilot flying<sup>12</sup> requested a visual approach<sup>13</sup> to Runway 34. This would require the flight crew to maintain their own visual separation from the aircraft ahead. The controller cleared LINK235 for a visual approach behind JST290, routing via the LYALL<sup>14</sup> waypoint with the instruction to contact Wellington tower when turning base.
- 2.10. After passing over the TURAK waypoint, LINK235 turned onto a southerly heading to extend downwind to sequence behind JST290. At 1730:45 LINK235 was approximately 3.5 nm south of TURAK at an altitude of 3,950 feet.

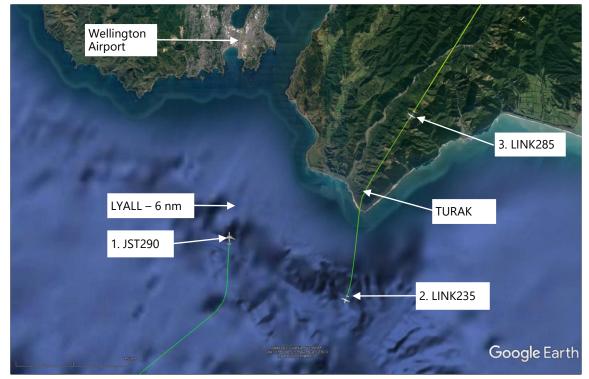


Figure 4: Positions of JST290, LINK235 and LINK285 at 1730:45

2.11. LINK235 then turned right onto the base leg to intercept the extended runway centreline, and changed the active radio frequency to contact Wellington tower.

#### LINK285 (No.3)

2.12. The third aeroplane in the approach sequence was LINK285, a Dash-8 scheduled flight from Gisborne to Wellington. LINK285 had also been cleared to approach from the

<sup>&</sup>lt;sup>11</sup> An approach when either part or all of an instrument approach procedure is not completed and the approach is executed with visual reference to the terrain.

<sup>&</sup>lt;sup>12</sup> The pilot responsible for controlling the aeroplane.

<sup>&</sup>lt;sup>13</sup> Civil Aviation Rules Part 172.255 provides for visual separation between aircraft provided that a specific request is made by the pilot

<sup>&</sup>lt;sup>14</sup> This was an historic waypoint but no longer used formally. See section 2.42 for an explanation of the history of this waypoint.

north-east via the DOGAD THREE ALFA STAR. At 1730:45 LINK285 was 4.3 nm north-east of TURAK, on a south-westerly heading at 6,800 feet.

- 2.13. The approach controller gave LINK285 a standard descent clearance to continue along the STAR. That clearance was followed with a description of the traffic ahead: "... and the company Dash just turning onto base, ahead of you by about 6 miles". The crew responded with, "Visual Dash ahead, LINK285." This was positive confirmation to the controller that the flight crew had seen and identified the relevant aeroplane ahead.
- 2.14. The approach controller offered the option of a visual approach: "is available to follow. Advise?". The flight crew of LINK285 then requested a visual approach.
- 2.15. The approach controller's clearance for the visual approach required LINK285 to "extend downwind as required to follow the Dash-8 ahead then to route via LYALL". After confirming the readback was correct, the approach controller said, "When turning base leg, contact Wellington tower on 118.8 [megahertz]." The approach controller then attended to another aircraft on their screen that was approaching from the southwest.
- 2.16. LINK285 flew over the TURAK waypoint at 1732:00 then commenced a right turn onto the base leg and changed radio frequency to contact the tower controller.

#### Air traffic control short-term conflict alerts

- 2.17. LINK285's right turn onto the base leg brought it into potential conflict with LINK235. The conflicting flight paths triggered short-term conflict alerts (STCAs) at 1732.45 on the approach controller's and Wellington tower controller's screens, which drew their attention back to LINK285.
- 2.18. Approach and tower controller radar displays (see Figure 5) show outlines of the terrain overlaid with the controlled airspace boundaries. Aircraft within an approach controller's area of responsibility are displayed as moving targets, representing their actual positions. A data block was displayed for each target with relevant information related to that target (such as the flight call sign, altitude, speed, aircraft type, destination, flight plan and controller's notes). A two-minute speed vector shows where the target will be in two minutes at the current speed and heading.

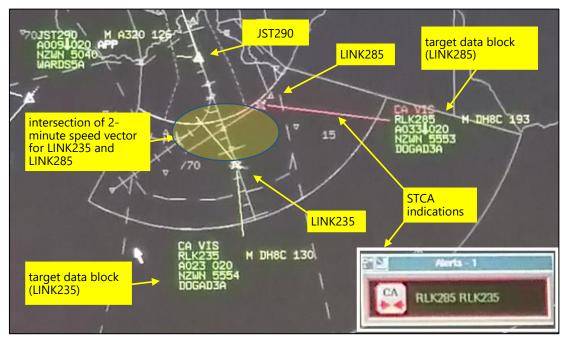


Figure 5: Positions of aircraft at STCA 1732:45 (Credit: Airways)

- 2.19. An STCA (or 'CA' in Figure 5) is a software conflict alert system built into the air traffic control (ATC) system. The system constantly monitors aircraft predicted trajectories and raises a conflict alert on a responsible controller's display if any target vectors are convergent.<sup>15</sup>
- 2.20. Five seconds after the STCA (at 1732:50), LINK285 broadcast on the Wellington tower frequency that it was "right base for 34, visual approach, visual Dash ahead".
- 2.21. The approach controller attempted to call LINK285 on the approach frequency at 1732:51, but the flight crew were not monitoring it at that time. The approach controller then contacted the tower controller by phone, at 1732:55.
- 2.22. The tower controller answered the telephone call from the approach controller and did not respond to the radio call from LINK285.
- 2.23. On the conclusion of the phone call between the controllers, the tower controller broadcast at 1733:07 to LINK285: "Essential traffic<sup>16</sup> on your left is a Dash-8 on a nine-mile final [approach] descending through 2,400 feet, maintain 3,000 feet."
- 2.24. The flight crew of LINK285 did not respond on the radio to the 'essential traffic' warning from the tower controller, but commenced a left-hand turn to the south within 10 seconds of that call.
- 2.25. A subsequent attempt by the approach controller to contact LINK285 on the approach frequency also went unanswered.

#### **TCAS Resolution Advisory**

2.26. At 1733:25, five seconds after commencing the left turn, LINK285's onboard TCAS issued an audible message (Resolution Advisory) to the flight crew to "climb climb". At

<sup>&</sup>lt;sup>15</sup> Airways Corporation of New Zealand Manual of Air Traffic Services (MATS), Rules of the Air and Air Traffic Services (RAC) 6, section 23.

<sup>&</sup>lt;sup>16</sup> Essential traffic is defined in the Civil Aviation Rule 172.3 as "Any controlled traffic that is not separated by the prescribed minima in relation to other controlled flights where separation is required."

the same time the TCAS on board LINK235 issued an audible message (Resolution Advisory) for that flight crew to "descend, descend". The flight crews of both LINK235 and LINK285 took evasive action as directed by their TCASs.

- 2.27. LINK235 advised the tower controller of a TCAS-RA, which the tower controller acknowledged. LINK285 then acknowledged the same by stating its call sign, "LINK285".
- 2.28. Air traffic radar records showed that the two aeroplanes came within 0.8 nm (1.5 km) of each other horizontally and 475 feet (145 m) vertically.
- 2.29. At 1733:44 LINK235 advised the tower controller that it was clear of conflict. That was followed immediately with a similar message from LINK285.
- 2.30. The tower controller then instructed LINK285 to contact the approach controller on 122.3 MHz and for LINK235 to continue on to land.
- 2.31. 122.3 MHz was a published Wellington alternate approach frequency on the STAR. At the time it was being used by the departure controller<sup>17</sup>, who sat immediately adjacent to the approach controller at the Wellington control desk in Christchurch. The departure controller was aware of the STCA incident due to their proximity to the approach controller, and immediately assumed control of LINK285 without comment. The departure controller directed LINK285 to continue heading south, then repositioned it for a visual approach behind LINK235. Once LINK285 was established on its final approach, it was passed back to the tower controller for a landing clearance.
- 2.32. All aeroplanes involved landed without further incident.

<sup>&</sup>lt;sup>17</sup> The air traffic control position responsible for flights departing a terminal area.

## **Personnel information**

	LINK235		LINK285	
	Captain	First Officer	Captain	First Officer
Licence held	ATPL(A)	CPL(A)	ATPL(A)	CPL(A)
Licence issued	2014	2017	2017	2018
Total hours	4,000	2,550	4,200	2,500
Hours on type	3,500	500	1,300	250
Last flight review	28 Jan 2019	4 Jan 2019	15 Dec 2018	18 Jan 2019
With operator since	2011	2018	2016 (upgrade to Captain Dec 2018)	Jan 2019
Role for this flight	Pilot monitoring <sup>18</sup>	Pilot flying	Pilot flying	Pilot monitoring

#### **Pilot experience**

# Note: Licences above are: airline transport pilot licence (aeroplane) and commercial pilot licence (aeroplane).

#### **Controller experience**

- 2.33. The approach controller had more than 20 years' experience as a controller at the time of the incident. The approach controller was located at the Christchurch national ATC centre at the Wellington sector control workstation. Three controllers operated that workstation.
- 2.34. The tower controller had more than 20 years' experience as a controller at the time of the incident. The tower controller was located in the Wellington control tower near Wellington Airport.

## Aircraft information

#### Dash-8

- 2.35. LINK235 and LINK285 were both Bombardier DHC-8-311 aeroplanes being operated by Air Nelson. The Bombardier DHC-8-311, commonly called a Dash-8 after its developmental history, is a twin-engine turboprop aeroplane operated by two pilots and with seating capacity for 50 passengers.
- 2.36. Both aeroplanes were fitted with Collins TCAS-94 TCAS II, Traffic Alert Collision Avoidance Systems (TCAS IIs).

#### A320

2.37. The A320 was a twin-turbine passenger jet aircraft manufactured by Airbus and operated by Jetstar Airways, with a seating capacity of 180.

<sup>&</sup>lt;sup>18</sup> The pilot responsible for monitoring the flight management and aeroplane control actions of the pilot flying, and carrying out support duties such as communications and checklist reading.

## **Meteorological information**

- 2.38. The Wellington aerodrome automatic terminal information service (ATIS) broadcast the conditions at the time as:
  - surface wind 340° magnetic at 14 knots<sup>19</sup> varying between 280° magnetic and 360° magnetic
  - cloud broken (5/8ths 7/8ths coverage) with the cloud base at 1,700 feet
  - visibility 20 kilometres
  - temperature 21° Celsius
  - dew point 16° Celsius

local barometric pressure (QNH) 1,015 hectopascals.

2.39. Sunset on 12 March 2019 was at 1947. At 1730:45 the sun was low in the west at 24° above the horizon.

## Aerodrome and airspace information

- 2.40. Wellington International Airport is located on the south-east side of Wellington city (see Appendix 1). The airport has a single runway aligned approximately north to south named runway 34 or 16 respectively. The terminal control zone extends from the surface to 2,500 feet, and 10 nm to the south.
- 2.41. The Wellington terminal area sits above the control zone and provides defined air corridors to the control zone from upper cruising levels. Both these sections of airspace are designated Class C.

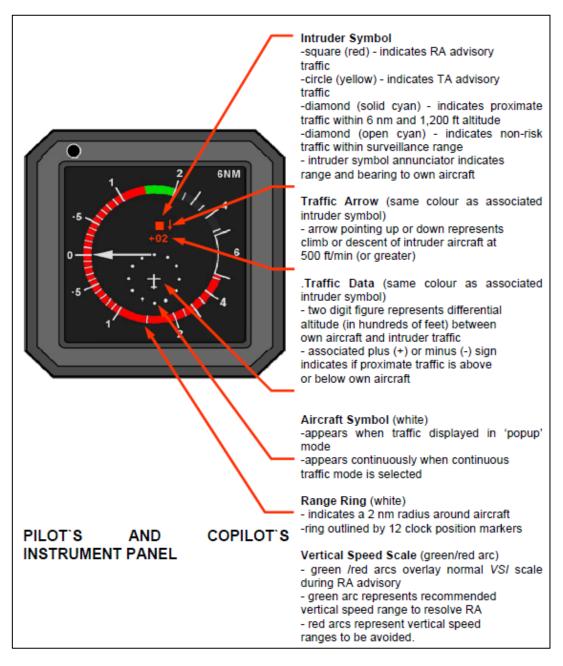
## Lyall waypoint

- 2.42. The LYALL waypoint was a GPS waypoint located six nm from the threshold of Runway 34. It was a flyby point used to establish a standard route on a visual approach. It was once published on a visual arrival chart, but that chart has since been discontinued. It also existed as a designated waypoint but was not depicted on any approach charts. Controllers used it for domestic traffic.
- 2.43. Air New Zealand advised that LYALL was not programmed into the Q300 or ATR72 flight management systems. Flight crew must rely upon crew knowledge and experience when ATC issued a clearance through LYALL and it required the crew to manually program the point rather than selecting a pre-set waypoint. The final fix (point F134) for an instrument landing to Runway 34 was 0.2 nm away from LYALL and sometimes used instead.
- 2.44. Airways reviewed the LYALL waypoint after this incident and it has since been deleted from the Air Navigation Register.

<sup>&</sup>lt;sup>19</sup> One knot = one nautical mile per hour.

## Traffic Collision Avoidance System (TCAS)

- 2.45. The Collins TCAS-94, TCAS II identifies and displays potential and predicted collision threats. The system protects a volume of airspace around an aircraft by interrogating transponders<sup>20</sup> in nearby aircraft and provide appropriate aural and visual advisories to a flight crew when another aircraft is predicted to infringe on the protected airspace.
- 2.46. TCASs provide two advisory levels to flight crew. The first advisory level, Traffic Advisory, displays and annunciates the relative positions of intruding aircraft that are



#### Figure 6: Collins TCAS-94 Resolution Advisory Indication

#### 12 nm (approximately 40 seconds) from the closest point of approach. A Traffic

<sup>&</sup>lt;sup>20</sup> Electronic devices that produce responses when they receive radio-frequency interrogation. Aircraft have transponders to assist in their identification on air traffic control radar.

Advisory provides the flight crew with adequate time to visually locate the intruding aircraft and initiate evasive manoeuvres. The second advisory level, Resolution Advisory, displays and annunciates a vertical manoeuvre that increases separation when the intruding aircraft is approximately 25 seconds from the closest point of approach. When in receipt of a TCAS-RA the flight crew must initiate evasive manoeuvres based on the TCAS-RA unless compliance is unsafe.<sup>21</sup> If both aircraft have TCASs, the equipment coordinates avoidance advisories to maximise separation, for example by instructing one aircraft to climb and the other to descend.

#### Visual approach under IFR

- 2.47. Under an IFR approach procedure, the flight crew may request to fly all or part of the procedure by maintaining their own visual separation from other aircraft, rather than continue with the published procedure where the controller provides the separation. Approval is conditional, among other things, on certain meteorological conditions existing. In this incident, changing to a visual approach meant that the last two waypoints, RONGO and UMAGA (see Appendix 1), could be excluded.
- 2.48. Visual approaches while under IFR are available at controlled aerodromes when meteorological conditions are suitable. The benefits can include reducing controller and flight crew workload and reducing time and costs with a shorter flight path over the ground. One consequence of changing to a visual approach is the flight crew should have a full appreciation of their position in the sequence and take responsibility for their own separation from aircraft they have been instructed to follow.
- 2.49. The air traffic rules for IFR flight are based on internationally agreed standards and recommended practices, which are then usually incorporated into local rules for each jurisdiction. New Zealand aligns the Civil Aviation Rules for air traffic management with ICAO's standards and recommended practices prescribed in Annex 11 and 2 to the Convention. These Annexes govern the application of the Procedures for Air Navigation Services Air Traffic Management (Doc 4444) and the Regional Supplementary Procedures Rules of the Air and Air Traffic Services (Doc 7030), which are incorporated into Airways' Manual of Air Traffic Services. The International Civil Aviation Organization (ICAO) Document 4444, Procedures for Air Navigation Services, states that:

6.5.3.1... clearance for an IFR flight to execute a visual approach may be requested by a flight crew or initiated by the controller. In the latter case, the concurrence of the flight crew shall be required.

6.5.3.4 Separation shall be provided between an aircraft cleared to execute a visual approach and other arriving and departing aircraft.

6.5.3.5 For successive visual approaches, separation shall be maintained by the controller until the pilot of a succeeding aircraft reports having the preceding aircraft in sight. The aircraft shall then be instructed to follow and maintain own separation from the preceding aircraft<sup>22</sup> (ICAO, 2016).

2.50. ICAO Document 4444 is incorporated into the New Zealand publications to define airspace control in this country. Airways Corporation of New Zealand (Airways) provides a Manual of Air Traffic Services (MATS) for guidance for its controllers. MATS

<sup>&</sup>lt;sup>21</sup> Air New Zealand Flight Crew Operating Manual Vol. 2.

<sup>&</sup>lt;sup>22</sup> ICAO Doc 4444, 16th edition, 2016, p.133.

states that the controller is responsible for ensuring that "there is no possibility of incorrect identification" when giving clearance to operate by visual separation.<sup>23</sup>

2.51. MATS also states that:

when requiring a pilot to sight another aircraft prior to the application of visual separation, controllers shall provide such of the following information that is available and appropriate to the situation:

- Aircraft type
- Position of the other aircraft relative to a navigation aid or prominent geographic feature, a procedure or traffic circuit being flown, or a relative bearing (clock reference) and distance
- Level information, relative height, or Mode C<sup>24</sup> readout
- Any other pertinent information such as direction of flight, company name, colour, intentions, etc. in circumstances where there might be a possibility of error in sighting the correct aircraft.

Due to recognised difficulties in sighting another aircraft, such as size, colour, aspect, speed, sun position, background contrast, etc., controllers should not give sighting information until the aircraft are known or believed to be within 10nm of one another.<sup>25</sup>

## ATC separation responsibilities

- 2.52. Airways has standard operating procedures<sup>26</sup> for the control of aircraft movements in and out of Wellington and for the handover of control responsibility to each IFR aircraft as it crosses control boundaries.
- 2.53. When IFR aircraft are sequenced to land at Wellington, the approach controller determines the sequence and is responsible for separation between these aircraft until landing. An exception to this exists when an IFR aircraft has been cleared for a visual approach and has agreed to follow a preceding IFR aircraft, in which case the responsibility for separation is passed to the flight crew of the following aircraft. Aircraft on a visual approach can be released from approach control to tower control by various means, allowing tower control to resolve conflicts with VFR traffic in the control zone.
- 2.54. The tower controller takes responsibility for providing visual separation within 5 nm of the threshold, but only to ensure that the runway is clear and available for each aircraft sequenced to land. The tower controller issues the sequenced aircraft a clearance to land if the runway is clear.
- 2.55. The flight crew on a visual approach are responsible for maintaining their separation from the aircraft they have been directed to follow, including for wake turbulence. They are also required under right-of-way rules<sup>27</sup> to maintain a visual lookout at all times to see and avoid other aircraft.

<sup>&</sup>lt;sup>23</sup> MATS 202.2 Visual separation beyond the vicinity of aerodromes.

<sup>&</sup>lt;sup>24</sup> The altitude encoded data sent by a secondary radar transponder in response to ATC interrogation signals.

<sup>&</sup>lt;sup>25</sup> MATS 202.7 Pilot sighting of other aircraft.

<sup>&</sup>lt;sup>26</sup> Wellington Terminal Procedures, 28 February 2019, RAC part 2, sections A and C.

<sup>&</sup>lt;sup>27</sup> Civil Aviation Rules 91.229.

2.56. In this incident, LINK285 was still within the approach controller's area of responsibility to maintain IFR separation between it and other aircraft when the STCA and TCAS events occurred.

## **Recorded data**

- 2.57. The flight data recorder (FDR) data records of both aeroplanes were downloaded under the Transport Accident Investigation Commission's (Commission's) supervision. These records covered the entire incident flights for both aeroplanes.
- 2.58. The cockpit voice recorder (CVR) systems fitted in both aeroplanes were capable of recording two hours of crew communications in a continuous loop format.<sup>28</sup> The previous recording was progressively overwritten by the next two-hour period. The CVRs in both aeroplanes were not disabled until the end of the next leg. As a result the incident flight record for LINK285 was lost and only the last 12 minutes of the incident flight for LINK235 were available for analysis.
- 2.59. Airways provided recorded surveillance data compiled from Secondary Surveillance Radar (SSR) and Automatic Dependent Surveillance-Broadcast (ADS-B) flight tracking data, audio recordings and images of the radar controller's display screens to the Commission for analysis.

<sup>&</sup>lt;sup>28</sup> This was for the cockpit-area microphone and combined individual channels. The captain, first officer and public address channels were on a 30-minute cycle.

## 3 Analysis Tātaritanga

## Introduction

- 3.1. The main purpose of controlled airspace, air traffic control and IFR is to create safe pathways for air traffic and to avoid potential collisions. Therefore, when two aircraft converge too closely in controlled airspace, causing the activation of aircraft or ATC-based alerts, it is classified as a serious incident.
- 3.2. This incident resulted in a STCA alert to the air traffic controllers followed by a TCAS-RA warning to the two flight crews involved. The automated activation of these important safety warning systems is evidence that they worked correctly in the background and as intended to prevent accidents.
- 3.3. The potential aircraft conflict was detected and avoided. The two aircraft subsequently landed without further incident and the passengers were **very likely** unaware of what had occurred.
- 3.4. This analysis examines the circumstances and events leading up the TCAS activation and why the aeroplanes were on conflicting flight paths within controlled airspace. It looks at the circumstances and factors that increased the likelihood of the event occurring and those that were intended to prevent the incident. It also considers if any safety issues exist that could have the potential to adversely affect future operations.
- 3.5. Three safety issues were identified:
  - the approach sequence position is critical information for pilots conducting visual approaches under IFR, but controllers are not required to provide that information in a visual approach clearance
  - the association of radio handover to a tower controller with other clearance actions can result in an approach controller losing radio contact with IFR traffic in their sector
  - the operator did not preserve and protect potentially critical evidence contained in the CVRs immediately after this serious incident.<sup>29</sup>

## What happened

- 3.6. LINK285 was following a STAR to Runway 34 when the approach controller advised that a visual approach would be available. The weather at the time was suitable for a visual approach into Wellington and the flight crew had visually identified an aeroplane ahead.
- 3.7. The pilot flying subsequently requested a visual approach. Once approved, the pilot set a course to follow the aeroplane they had identified ahead.
- 3.8. The flight crew of LINK285 had mistakenly identified JST290 as the aeroplane ahead that they were to follow in the STAR procedure. They had not seen LINK235, which was also ahead and was the aeroplane they were meant to follow. The flight crew proceeded to position LINK285 with appropriate separation, behind JST290. They

<sup>&</sup>lt;sup>29</sup> An incident with a high probability of leading to an accident. A sample list is provided in ICAO Annex 13, Attachment C (ICAO, 2016).

turned onto the base leg and changed frequency, then called Wellington tower in accordance with their clearance.

- 3.9. This movement took LINK285 onto a flight path that would conflict with LINK235's flight path, and it activated the STCA. The STCA drew the approach controller's attention back to LINK285, just as it was intended to do. As the flight crew had changed to the tower frequency, the approach controller could not make radio contact with LINK285.
- 3.10. In the meantime, LINK235 was on its final approach behind JST290. The flight crew were scanning their instruments and saw the other Dash-8 appear on the TCAS display within their airspace and approaching from their right-hand side. They were planning to descend below glideslope<sup>30</sup> to increase separation clearance.
- 3.11. The crew of LINK285 reported to the Commission that they saw an unexpected aircraft on a conflicting flight path from their left and that they immediately commenced a left turn to avoid it. The flight recorder data confirmed that the aeroplane started a left turn approximately five seconds before the TCAS-RA activation.
- 3.12. The left turn by LINK285 initially brought the two aircraft into more direct conflict, but as the turn progressed it took them further apart. The TCAS activated in both Dash-8s, and the respective flight crews took action as the systems directed to avoid the conflict.

#### Responsibility for separation of aircraft

- 3.13. There are several safety barriers to prevent regular passenger transport aircraft flying too close to other aircraft. Pilots and air traffic controllers are responsible for managing the safety barriers that are applicable to their operational areas. In this case the first responsibility was with the approach controller. In Class C airspace, the controller is responsible for maintaining separation between IFR aircraft and between IFR aircraft and all other air traffic. This changed when the flight crew of LINK285 decided to accept responsibility for their separation from the aircraft ahead and conduct a visual approach. The approach controller's responsibility was now to monitor that LINK285 was complying with their instruction, so maintained a general awareness of LINK285's progress.
- 3.14. Once the flight crew of LINK285 were cleared for the visual approach, they were primarily responsible for ensuring their own separation from the aeroplane they had been instructed to follow. For this to work, they had to identify the correct aeroplane to follow. When the flight crew misidentified the aircraft ahead and followed the wrong aeroplane, the remaining safety barriers came into effect.
- 3.15. The next safety barrier to take effect was the automatic STCA. It was successful in detecting a potential conflict between LINK285 and LINK235 and in drawing the attention of both the approach and the tower controllers to it in sufficient time for the approach controller to act. However, the approach controller was unable to contact LINK285 on the radio because the flight crew were in the process of transitioning from approach to tower frequency as instructed and were no longer monitoring the approach controller's frequency. This delayed the approach controller in re-

<sup>&</sup>lt;sup>30</sup> An instrument landing system that indicates the proper path of descent for an aircraft preparing to land

establishing contact and potentially issuing traffic information or instructions to resolve the conflict.

- 3.16. The tower controller was also aware that the STCA had activated and was assessing the situation. When the STCA activated, LINK285 had not yet contacted the tower controller. Normal procedure for handover between controllers is that aircraft released to the tower will have a suitable form of separation in place with no action required by the tower controller. The STCA created doubt for the two controllers about the sequence and separation.
- 3.17. The next safety barrier to take effect was the tower controller advising LINK285 of 'essential traffic' in its vicinity. This call was initiated by the approach controller's telephone conversation with the tower controller. It was successful in further raising the LINK285 flight crew's awareness of the conflict. The tower controller's message included guidance actions for the flight crew to avoid the conflict.
- 3.18. The next safety barrier to take effect was the 'see and avoid' actions of the respective flight crews. These were also effective, as the flight crews of LINK235 and LINK285 saw each other and initiated their own avoiding action slightly before their respective TCASs had warned them of the potential conflict.
- 3.19. The last safety barrier to take effect was the TCAS. It worked as designed and alerted both flight crews to a potential mid-air collision and provided visual and aural instructions for them to avoid it.

# How did the flight crew of LINK285 mistakenly identify JST290 as LINK235?

- 3.20. The flight crew of LINK285 mistakenly visually identified an A320 on its final approach as a Dash-8 on the base leg. This may seem improbable given that the A320 is a lowwing, twin-engine jet aeroplane, while a Dash-8 is a high wing, T-tail, twin-engine turboprop aeroplane. The flight crew were experienced pilots attempting to identify an aeroplane that was the same as the one they were flying and operated by the same company. They were also familiar where aircraft would be on each leg of the approach pattern into Wellington Airport.
- 3.21. This section discusses the possible factors that contributed to the flight crew of LINK285 misidentifying the aeroplane that the approach controller had instructed them to follow. It also outlines the rules in place for this situation and the defences in place intended to prevent one aeroplane getting too close to another.

#### Possible contributing factors that were excluded

- 3.22. Several factors could have contributed to this incident, but there was no evidence to support the following items, so they were rejected.
- Licensing: The LINK285 flight crew had the appropriate experience and licences to fly the aeroplane.
- Workload: The weather was fine and the flight crew were not dealing with any sort of emergency situation or system failure. There were only two other aircraft in the approach traffic. The cockpit was reported by the flight crew to have been 'sterile' with no distractions. This situation would have been **unlikely** to present a high workload for experienced pilots.

- Fatigue: Both pilots in LINK285 had had adequate rest since their previous duty time and said they were alert and focused on the tasks at hand.
- Familiarity: The flight crew stated they had briefed for the approach at the top of the descent and were both expecting a standard instrument terminal-arrival route through the waypoints to Runway 34. Although they had not briefed for a visual approach that could deviate from the IFR route, they had both flown visual approaches to Wellington along this route before.
- Weather: The weather was fine with scattered cloud clearing from the south of Wellington. The visual clearance from cloud was assured and the horizontal visibility distance was suitable for visual flight conditions.
- Pilot interactions: The captain and first officer both said they worked well as a team. Neither pilot expressed any concern about their working relationship in the cockpit.

#### **Situational awareness**

- 3.23. LINK285 was on a planned IFR descent profile<sup>31</sup> under the control of the approach controller. The approach controller was, at that time, responsible for ensuring appropriate separation from other aircraft, but the flight crew were about to transition to a visual approach.
- 3.24. The flight crew needed to update their awareness of other aircraft in the approach pattern in preparation for conducting a visual approach. A pilot gains situational awareness by their perception and interpretation of the information they receive through their senses. This includes from visually scanning outside, scanning the flight instruments and listening to radio chatter. Where an aircraft has two pilots, they share the workload and confer on their respective observations. This helps them to build a shared picture of other aircraft in the airspace around them.
- 3.25. The flight crew of LINK285 said, during an interview, that they were listening to the Wellington approach frequency and heard instructions being issued to LINK235 and JST290. This indicated that there were at least two preceding aircraft in the approach sequence before they went visual.
- 3.26. According to the ICAO procedures for an IFR flight, to make a visual approach<sup>32</sup> the pilot needs to positively identify aircraft they have been instructed to follow and the controller needs to monitor the pilot's actions. The guidance for controllers is stated in MATS<sup>33</sup> as:

After clearing an aircraft for a visual approach and to follow another aircraft visually, radar controllers should continue to monitor the aircraft in order to confirm that the correct aircraft is being followed.

- 3.27. At 1730:45, two minutes before the TCAS-RA, the radar display for the Wellington approach showed LINK235 approximately 8 nm ahead and slightly to the left of the track of LINK285, while JST290 was approximately 9 nm ahead and slightly to the right of the track of LINK285 (see Figure 7).
- 3.28. The flight crew were required to identify the aeroplane ahead that was just turning onto base. JST290 was on its final approach at the time. The flight crew of LINK285 and

<sup>&</sup>lt;sup>31</sup> A path with set vertical limits and heights to be at when passing each waypoint.

<sup>&</sup>lt;sup>32</sup> ICAO Document 4444, Section 6.5.3 – Visual approach.

<sup>&</sup>lt;sup>33</sup> MATS RAC 6, section 17.7.

the approach controller were both confident that LINK235 had been correctly identified as the aircraft ahead. The approach controller then switched their attention to another aircraft arriving at Wellington on the other side of their screen.

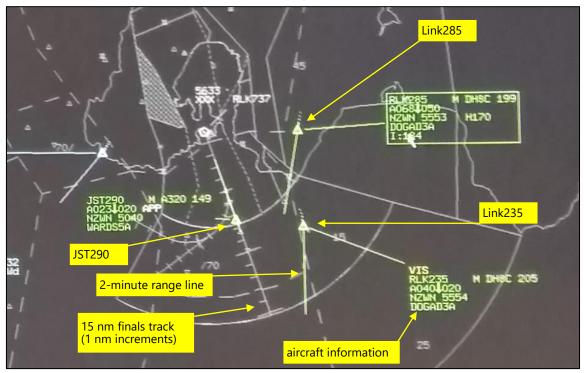


Figure 7: Airways radar – positions of JST290, LINK235 and LINK285 at 1730:45

- 3.29. While the relative positions of aircraft on the radar screen were clear to the approach controller, the pilots in LINK285 did not have the same perspective.
- 3.30. At 1730:45, when the LINK285 flight crew stated they had LINK235 in sight ahead, the sun was approximately 24° above the horizon and to their right. The pilot flying recalled that conditions were suitable for a visual approach. There was some cloud around but the sun was low in the sky and it was clearer of cloud to the south. The pilot monitoring had noted that colour clarity was poor.
- 3.31. At that time LINK235 was approximately 6 nm ahead, 2,800 feet below and flying directly away from LINK285 (see Figure 4). It would have presented as a small cross-sectional area with little to no sun reflection and have had very little contrast or relative movement against the background. It would have been difficult for the flight crew of LINK285 to see and visually identify the other Dash-8.
- 3.32. Conversely, JST290 was about the same distance away from LINK285 but lower down and slightly to its right-hand side and flying towards it. The sun would **likely** have been highlighting the upper surfaces of JST290 against the contrasting darker sea, making its relative movement more obvious.
- 3.33. At 6 nm a pilot would be challenged to distinguish another aircraft's details and colour scheme. The human eye could detect an object's movement and distinguish that it was an aircraft at that distance, but the visual acuity of details may not be as clear.
- 3.34. The image derived by a human eye may seem convincing and true to the eye's owner, but the human eye is well known to have imperfections and be subject to illusionary effects at times.

3.35. In the book, Fundamentals of Aerospace Medicine (Davis, Johnson, Stepanek, & Fogarty, 2008), the visual function of the eye is described as:

The visual apparatus, stimulated by light, must primarily perform three basic functions. It must be able to perceive an object by the detection of light emitted or reflected from it; this is known as light discrimination. Second, it must be able to perceive the details of an object; this is known as visual acuity. Third, it must allow one to judge distances from objects and to perceive movement in the field of vision. These latter two functions combined are known as spatial discrimination.

3.36. The flight crew in LINK285 looked ahead for LINK235 where they expected it to be and saw an aeroplane. They agreed it was LINK235 but they had **likely** experienced confirmation bias.

Confirmation Bias is the tendency to look for information that supports, rather than rejects, one's preconceptions, typically by interpreting evidence to confirm existing beliefs while rejecting or ignoring any conflicting data (Noor, 2020).

- 3.37. Both the pilot flying and pilot monitoring LINK285 recalled in separate interviews that the pilot flying had located the aircraft ahead (this was JST290) and pointed it out to the pilot monitoring. The pilot flying recalled that, initially, the pilot monitoring had had difficulty identifying the aircraft ahead, but eventually they had both believed it was LINK235.
- 3.38. The LINK285 flight crew had other information available that conflicted with their agreed identification of LINK235 at this time, but they did not focus their attention on it. For example:
  - the LINK285 flight crew heard the approach controller pass the control of JST290 to the tower immediately before receiving their own clearance to descend. That meant they were one of three aircraft in the landing sequence. They had identified one aeroplane in front, but where was the other?
  - the Dash-8 ahead was described by the approach controller as turning onto base leg, but the A320 that they thought was LINK235 was on its final approach. This was a different position in the landing pattern, and it was heading in a different direction from LINK235
  - there is a significant difference between the shape of a Dash-8 and that of an A320.
- 3.39. The TCAS would have displayed information that also conflicted with the crew's mental model. The TCAS range was set to detect and display other aircraft with transponders in a 12 nm radius of airspace around the aeroplane. Both JST290 and LINK235 were about 6 nm away from LINK285 and their targets would have been visible on the TCAS display as open or solid, cyan-coloured diamonds. The pilots in LINK285 said they did not check their TCAS display until after they saw an unexpected object to their left. They used the TCAS to confirm it was an aircraft target.
- 3.40. The LINK285 flight crew's reliance on the visual identification of the aeroplane they had been directed to follow, without considering alternative sources of verification, led to their misidentifying that aeroplane. Once the flight crew had convinced themselves they were following the correct aircraft to land, the sudden realisation that they were

wrong had a startle effect<sup>34</sup> on them. All participants in this incident were stood down from duty afterwards, to allow them to recover.

#### Visual approach position in the landing sequence.

Safety issue: While ATC sequences an IFR aeroplane to land and approves the flight crew to conduct a visual approach, current air traffic rules do not require controllers to provide flight crew with their positions in the approach sequence. This information can be crucial to a flight crew conducting a visual approach for them to maintain their situational awareness.

- 3.41. The procedure for a flight crew on an IFR flight to make a visual approach to land is a recognised procedure and provided for in both international and New Zealand air-traffic-management procedures. In this incident, LINK285 sought and was approved to make a visual approach to land at Wellington Airport in accordance with the procedure.
- 3.42. While MATS currently does not require a controller to provide a landing sequence for IFR aircraft conducting a visual approach, it does suggest that controllers provide such information that is available and appropriate to the situation. The approach controller clearly described the position of the aeroplane ahead in the circuit pattern that LINK285 was to follow by stating that it was, "just turning onto base". LINK285's position number in the sequence was known by the controller but not passed on to the flight crew.
- 3.43. The current air traffic rules are inconsistent on the minimum information controllers are required to provide to pilots conducting a visual approach. The requirements depend on whether the aircraft is operated under IFR or visual flight rules (VFR). In both situations the flight crew are responsible for maintaining their own visual separation from an aircraft ahead and need to develop an awareness of other aircraft in the landing pattern. In both situations the aeroplane's position in the landing sequence is information that the flight crew need to develop their situational awareness, but MATS only requires the sequence to be provided to a VFR flight when that pilot is issued with a clearance to follow another.
- 3.44. When aircraft are flying in a rectangular pattern in a sequence to land, several aircraft could be visible to the rear pilots in that sequence. Some aircraft may be joining the sequence from another direction or lining up for another runway. Some may have changed to a tower frequency for landing. Those on the tower frequency may not be audible to pilots still under approach control. Therefore, when an IFR flight is conducting a visual approach to land, the aeroplane's position in the sequence becomes a significant factor in the pilots' situational awareness.
- 3.45. Despite there being no formal requirement for a controller to provide a flight crew with information on their position in a landing sequence, there is no barrier to a flight crew asking for that information if they think they need it. In this case, the LINK285 flight crew were not provided with a position in the approach sequence when the visual approach clearance was issued and did not ask for one.

<sup>&</sup>lt;sup>34</sup> An uncontrollable, automatic reflex that is elicited by exposure to a sudden, intense event that violates a pilot's expectations. Federal Aviation Administration Advisory Circular 120-111, 14 April 2015.

### Radio frequency management

Safety issue: When an IFR aeroplane is approved to conduct a visual approach to land, current ATC procedures can allow the flight crew to change their radio to the tower frequency dependent on their other clearance actions. This can create a situation where the approach controller is unable to contact that flight crew when the controller is still responsible for monitoring that flight crew's compliance with an instruction.

- 3.46. The flight crew of LINK285 were instructed to follow the Dash-8 ahead and maintain separation from it. Their next instruction was to change to tower frequency when they were on base leg. The point when the flight crew changed radio frequency was therefore left up to the flight crew to determine based on their decision when they would turn onto base leg.
- 3.47. Usually this type of instruction would suffice, but it depends on the recipient identifying correctly the aircraft they are being instructed to follow. In this case the approach controller did not realise the flight crew's mistake until the STCA drew attention to a potential conflict. They then found that the flight crew were not contactable because they were no longer on the approach frequency. This inability for the approach controller to communicate with LINK285 increased the risks by delaying corrective actions.
- 3.48. According to the local Wellington air traffic operating procedures defined in MATS, the radio-frequency changeover point is near Baring Head or the TURAK reference point. This is close to where the actual radio frequency changeover took place. The transfer of control from the approach controller to the tower controller, commenced when LINK285 flight crew called the tower while on base leg. The transfer of control was not completed because the tower controller did not respond.
- 3.49. The approach controller remained responsible for ensuring that IFR separation with aircraft other than the preceding traffic, was applied to LINK285 until the control transition. MATS also instructs tower controllers not to accept control of an approaching IFR aircraft unless appropriate separation can be applied. The approach controller in this case therefore made the first attempts to resolve the potential conflict but then had to relay through the tower controller.

#### **Cockpit voice recorders**

# Safety issue: Potentially critical information on a serious incident, recorded in two separate CVRs, was lost because the recordings were not protected.

- 3.50. Both flight crews responded to TCAS-RA alert messages generated in their aeroplanes. This type of incident, where an avoidance manoeuvre is required to avoid a collision, is listed in ICAO Annex 13 as an example of a serious incident. Actions taken in this case demonstrated that it was also considered a serious incident. Airways stood down both controllers, and the tower controller passed a message to both flight crews to report to the duty manager after shutdown. Both captains discussed the incident with the duty manager before they left the cockpit, then both flight crews were stood down.
- 3.51. A CVR records the last two hours of voice communications in a cockpit. The recording is made in a continuous loop, so a recording older than two hours is overwritten by the current period. The first 30 minutes are recorded on four separate channels, but everything older than 30 minutes is blended onto a single channel from multiple microphone inputs.

- 3.52. In this incident the operator allowed both aircraft to fly their next legs before the CVRs were disabled. Both aircraft were on the ground for about 30 minutes after landing, then flew the next flight legs of about 40 minutes' duration. As a result of these delays in isolating the CVRs, critical voice recordings from the time of the incident were lost and others were difficult to separate and interpret.
- 3.53. The Civil Aviation Rules and the operator's standard operating procedures both required the CVR records to be protected after this serious incident. The captain of LINK285 was aware of this requirement but was focussed upon communicating to crew and duty staff. Air New Zealand pointed out that it was a matter of judgement whether an incident reached the threshold of 'serious' as described in the company standard operating procedures. The investigation could not conclusively determine why the CVR circuit breakers were not pulled on each of the aircraft immediately after the incident at Wellington.
- 3.54. Civil Aviation Rules 12.103, Preservation of Records stated:

The holder of a certificate of registration of an aircraft that is involved in a serious incident or accident must preserve all records, including all recording media maintained for the operation and maintenance of the aircraft, for at least 14 days after the serious incident or accident unless otherwise notified by the Authority.

3.55. Air New Zealand Standard Operating Policies<sup>35</sup> stated:

To preserve the recording of any serious incident occurring within the recording duration prior to landing, the crew should:

On completion of the aircraft shutdown checklist deactivate the CVR by pulling the circuit-breaker.

Make an entry in the Technical Log that the CVR circuit-breaker has been pulled. A Safety Report must be submitted.

#### Note:

Except as provided above, CVR circuit-breakers shall remain in at all times.

- 3.56. The failure to preserve the CVR recordings also meant that the operator and the flight crew lost an opportunity to reflect on and learn from this incident.
- 3.57. This issue has been a problem for other airline operators and investigation agencies for some time. International safety organisations have made steps towards increasing the CVR recording period. The United States National Transportation Safety Board (NTSB) adopted its safety recommendation report, Extended Duration Cockpit Voice Recorders (ASR-18-04) in 2018. In the same year it made two safety recommendations to the Federal Aviation Administration (FAA)<sup>36</sup> to increase the recording duration of CVR records to at least 25 hours for all new aircraft that required CVRs and to retrofit existing aircraft CVRs to the same recording duration by 2024.
- 3.58. Subsequent to 2018, the FAA technical standard order for CVRs (TSO-C123c) has been aligned with a European Organisation for Civil Aviation Equipment (EUROCAE) document, ED-112A, Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems. ICAO has also revised its requirements for large

<sup>&</sup>lt;sup>35</sup> Air New Zealand/ Air Nelson Standard Operating Policies, DHC8-300 (Q300), Chapter 4, Section 2, Cockpit voice recorder (CVR). (Revision 22, Nov 08, 2018) (See Appendix 3).

<sup>&</sup>lt;sup>36</sup> NTSB Safety Recommendation A-18-030 and A-18-031

passenger aircraft: ICAO Annex 6 – Operation of Aircraft – Part 1 requires that new aircraft certified for a take-off mass greater than 27,000 kilograms and first registered after January 2022 be equipped with CVRs that retain at least the last 25 hours of their operation. New CVRs are available today (2021) with the extended-duration recording period of 25 hours.

3.59. The Commission is concerned that operators are not recognising the importance of CVR records and the value they can provide to post-incident and -accident investigations and learning. In 2009 the Commission made a recommendation to the New Zealand Civil Aviation Authority (CAA) about a late notification of a runway excursion at New Plymouth by a Metroliner operated by Life Flight NZ. The delay allowed the aircraft to resume operations after the incident and the CVR records to be overwritten (TAIC, 2009). In 2017 a Nelson-based ATR72 operated by Mount Cook Airline landed at Palmerston North with an undercarriage problem. The aeroplane was shut down on the runway and the CVR isolated by a Commission investigator after the incident. Ground crew subsequently repowered the CVR when they started up the aeroplane to taxi it off the runway for repair. The CVR recording of the incident was overwritten with ground crew conversations (TAIC, 2017).

# 4 Findings Ngā kitenge

- 4.1. When the flight crew of LINK285 transitioned to a visual approach while on an IFR flight into Wellington International Airport, they mistakenly identified the first aeroplane in the sequence of three to land as the preceding aeroplane to theirs.
- 4.2. The flight crew of LINK285 (No.3) then positioned their aeroplane behind the first aeroplane in the sequence of three, which put them on a converging flightpath with the second aeroplane in the sequence.
- 4.3. The human defence layers, supplemented by automation incorporated into the air traffic control system, the aircraft systems and the pilots' training, meant the potential conflict was detected and successfully resolved.
- 4.4. The flight crew of LINK285 had not developed a complete mental image of other aircraft relative to their own position in the landing pattern before they took over responsibility for maintaining their visual separation from the aeroplane they had identified ahead.
- 4.5. The lighting and visual conditions prevailing at the time, and the known limitations of the human eye, made it more difficult for the LINK285 flight crew to identify the preceding aeroplane visually.
- 4.6. Further resources, such as Traffic Collision Avoidance Systems and the air traffic control guidance available to the flight crew to help validate their mental models of their situation, were not used.
- 4.7. It was standard air-traffic-control procedure at the time to provide pilots on visual approaches with sufficient information to allow them to sequence correctly. This did not necessarily include their position in an approach procedure, but in this case the position would have been crucial information for the pilots' situational awareness.
- 4.8. By allowing a flight crew on a visual approach to change radio frequency at their discretion, based on other clearance actions, meant the approach controller was unable to establish immediate communication with an aircraft within their control sector and provide information about conflicting traffic.
- 4.9. After this serious incident, neither the flight crews from LINK235 and LINK285 nor the operator's ground crew isolated the cockpit voice recorders immediately after the incident flight. This action was required by the operator's standard operating procedures and by Civil Aviation Rules.

# 5 Safety issues and remedial action Ngā take haumanu me ngā mahi whakatika

## General

- 5.1. Safety issues are an output from the Commission's analysis. They typically describe a system problem that has the potential to adversely affect future operations on a wide scale.
- 5.2. Safety issues may be addressed by safety actions taken by a participant, otherwise the Commission may issue a recommendation to address the issue.

## **Safety issues**

#### ATC handover on visual approach

- 5.3. The minimum information a controller is required to pass to an IFR flight crew about to commence a visual approach to land does not include their position in the landing sequence. This information significantly aids pilots in developing their situational awareness and maintaining their visual separation from other aircraft.
- 5.4. Airways reviewed this safety issue through an internal working group and made changes to address the residual risk. This work resulted in an update to MATS Advisory Circular (MAC 7) to controllers, which was promulgated on 31 December 2020. It included a new section on visual approach procedures that outlined the potential risks and described best practice to prevent a reoccurrence. Therefore, the Commission has not made a recommendation.

#### **Radio frequency management**

- 5.5. The ATC practice of allowing an IFR flight making a visual approach into Wellington to change radio frequency at the pilot's discretion based on other clearance actions, can result in the approach controller losing radio contact with the flight while it is still within their control sector.
- 5.6. Airways reviewed this safety issue through an internal working group and made changes to address the residual risk. This work resulted in an update to MATS Advisory Circular (MAC 7) to controllers that was promulgated on 31 December 2020. It included a new section on visual approach procedures that outlined the potential risks and described best practice to prevent a reoccurrence. Therefore, the Commission has not made a recommendation.

#### **Cockpit voice recorders**

- 5.7. The flight crews of LINK235 and LINK285 and the operator's supporting ground crew at Wellington Airport failed to comply with both CAA rules and the operator's standard operating procedures regarding protecting potentially critical evidence after a serious incident.
- 5.8. Air New Zealand submitted that since this occurrence Air Nelson, the operator of the two Dash-8 aircraft, has been integrated with Air New Zealand and now come under the one common Air Operator Certificate (AOC). Air New Zealand has reviewed procedures and training requirements as part of this transition. Flight crew technical

refresher and recurrent training and new flight crew training for the Dash-8 (Q300) aircraft from 2022 will include specific reminders to pilots about isolating the CVR after a serious incident. Examples of serious incidents that match those recommended in Attachment C of Annex 13 to the Convention on International Civil Aviation are listed with the new training instruction (see Appendix 4).

- 5.9. Air New Zealand also stated that all new Airbus A321 and Boeing B787 aircraft delivered to Air New Zealand will have 25-hour CVRs installed.
- 5.10. The Commission would have made a safety recommendation to address this issue. However, the Commission considers that the actions taken by Air New Zealand since this incident have adequately addressed this safety issue. Therefore, the Commission has not made a safety recommendation.

#### **Other safety action**

5.11. Participants may take safety actions to address issues that would not normally result in the Commission issuing a recommendation or in anticipation of a recommendation.

#### The operator's safety actions

- 5.12. The operator investigated this incident and provided a copy of their report<sup>37</sup> to the Commission.
- 5.13. The operator issued a safety bulletin to their flight crews during April 2019 that described the factual details of this event. This was followed by a safety briefing and workshop to review this incident and identify the human factors and lessons for other flight crew.
- 5.14. A separate human factors workshop was convened in May 2019 for the operator's safety team. Safety actions from that workshop were incorporated into the regional airlines' human factors training.
- 5.15. The operator considered there was potential for confusion between the LINK235 and LINK285 call signs because they were scheduled to arrive at Wellington at similar times each day. They renamed the flight numbers of LINK285 to LINK289 to minimise this possibility in future.
- 5.16. The operator raised several other points subsequent to the initial event about radiotelephony phraseology, controller actions, ATIS broadcasts and the use of reporting points<sup>38</sup> that were not officially defined. Some of these points were also raised in the Airways investigation.
- 5.17. The operator's report did not mention the failure to isolate the CVRs in both aeroplanes.

#### Airways safety action

5.18. Airways investigated this incident and provided a copy of its report<sup>39</sup> to the Commission. Airways' findings matched some that the operator had raised about phraseology, controller actions, ATIS and reporting points. Airways also raised the issue of radio communications where the approach controller could not contact LINK285.

<sup>&</sup>lt;sup>37</sup> TCAS Resolution Advisory Event, RLK235/RLK285, NZWN. Korusafe safety investigation 04262-19.

<sup>&</sup>lt;sup>38</sup> A specified (named) geographical location in relation to which the position of an aircraft can be reported.

<sup>&</sup>lt;sup>39</sup> Airways operational safety investigation report 0419-19.

- 5.19. The Airways report listed 11 safety actions, with projected completion dates ranging from November 2019 to 30 March 2020. These included:
  - review visual approach procedures
  - review the conditions for changing radio frequency on approach
  - review 'essential traffic' phraseology
  - revise controller training for recovering from a loss of separation
  - review the Wellington tower's local unit orders instructions for updating ATIS with cloud
  - consider METAR (METeorological Aerodrome Report) data for cloud
  - review local waypoints LYALL and RIDGE
  - review traffic information provided to aircraft on visual approach.

# 6 Recommendations Ngā tūtohutanga

### General

- 6.1. The Commission may issue, or give notice of, recommendations to any person or organisation that it considers the most appropriate to address the identified safety issues, depending on whether these safety issues are applicable to a single operator only or to the wider transport sector.
- 6.2. In the interests of transport safety, it is important that recommendations are implemented without delay to help prevent similar accidents or incidents occurring in the future.
- 6.3. For this incident, the operator and Airways carried out their own internal investigations and took action to address safety issues they had identified. The Commission would have issued safety recommendations, but the actions taken by the operator and Airways had already addressed those safety issues. Therefore, the Commission has not made any safety recommendations.

# 7 Key lessons Ngā akoranga matua

- 7.1. The visual identification of other aircraft can be challenging. Pilots should use all available resources to build situational awareness.
- 7.2. When a controller issues a clearance, it is important to monitor the flight crew's initial compliance to check it has been interpreted as intended.
- 7.3. TCAS equipment is an effective defence against mid-air collisions, and its display is also a useful instrument that flight crew can use for their situational awareness.
- 7.4. Establishing criteria through recurrent messaging and training for flight crew on the definitions of what constitutes a serious incident is an important and continuous safety function for airlines. This will ensure that reporting and securing of safety data (such as from on-board recorders) occurs for all serious incidents and lessons are learned to avoid repeat occurrences.

## 8 Data summary Whakarāpopoto raraunga

#### Aircraft particulars

Aircraft registration:	ZK-NEF. Flight RLK285 is referred to throughout this report by its callsign (LINK285).
Type and serial number:	Bombardier DHC-8-311, serial number 620 commonly known as a Dash-8 or Q300, it is referred to as a Dash-8 throughout this report
Number and type of engines:	two turboprops
Year of manufacture:	2006
Operator:	Air New Zealand <sup>40</sup> trading as Air Nelson
Type of flight:	regular passenger transport
Aircraft registration:	ZK-NEH. Flight RLK235 is referred to throughout this report by its callsign (LINK235).
Aircraft registration: Type and serial number:	5
J. J	report by its callsign (LINK235).
J. J	report by its callsign (LINK235). Bombardier DHC-8-311, serial number 623 commonly known as a Dash-8 or Q300, it is referred
Type and serial number: Number and type of	report by its callsign (LINK235). Bombardier DHC-8-311, serial number 623 commonly known as a Dash-8 or Q300, it is referred to as a Dash-8 throughout this report
Type and serial number: Number and type of engines:	report by its callsign (LINK235). Bombardier DHC-8-311, serial number 623 commonly known as a Dash-8 or Q300, it is referred to as a Dash-8 throughout this report two turboprops

#### Crew particulars – LINK285

Pilot's licence:	airline transport pilot licence (aeroplane), issued 2017
Pilot's age:	44

<sup>&</sup>lt;sup>40</sup> Air Nelson is a wholly owned subsidiary of Air New Zealand; all operating approvals and senior staff positions are held by Air New Zealand. Any reference to Air Nelson in this report is a reference to Air New Zealand.

	Pilot's total flying experience:	4,200 hours	
	First officer's licence:	commercial pilot licent	e (aeroplane), issued 2018
	First officer's age:	36	
	First officer's total flying experience:	2,500 hours	
Crew par	ticulars – LINK235		
	Pilot's licence:	airline transport pilot licence (aeroplane), issued 2014	
	Pilot's age:	36	
	Pilot's total flying experience:	4,000 hours	
	First officer's licence:	commercial pilot licence (aeroplane), issued 2017	
	First officer's age:	33	
	First officer's total flying experience:	2,550 hours	
Date and	time	12 March 2019, 1733:25	
Location		location	near Wellington, New Zealand
		latitude:	41° 28´ south
		longitude:	174°49´ east
Injuries			nil
Damage			nil

# 9 Conduct of the inquiry He tikanga rapunga

- 9.1. On 12 March 2019 at 2046, the CAA notified the Commission of this incident. The Commission subsequently opened an inquiry under section 13(1) of the Transport Accident Investigation Commission Act 1990 and appointed an investigator in charge.
- 9.2. The Commission issued urgent instructions to the operator to protect the CVR recordings, but by the time the Commission had been notified of the incident, the aircraft had departed on their next legs. The operator had not grounded either aircraft immediately following the incident flight. The CVRs were not protected until after the end of the next leg. Consequently information about the incident was overwritten and lost.
- 9.3. The flight crew from the two Dash-8s were interviewed in Auckland, and the air traffic controllers were interviewed at their home bases in Wellington and Christchurch.
- 9.4. Airways' recordings of the radio and telephone conversations from the respective control desks were obtained. The recorded radar replay was examined at the Airways facilities in Christchurch and still screenshots taken at key points.
- 9.5. An internal draft report was completed in November 2020 but due to staff changes, held for a separate external review. A revised draft was submitted to the Commission in July 2021. Further work was required to recognise actions taken by interested persons.
- 9.6. On 27 October 2021 the Commission approved a draft report for circulation to eight interested persons for their comment. Submissions were received on 21 December 2021.
- 9.7. Submissions were considered during January 2022 and a revised final draft report presented to the Commission for consideration in February 2022.
- 9.8. The final draft was approved in February 2022 and published on 14 April 2022.

# Abbreviations Whakapotonga

ATC	air traffic control
ATIS	automatic terminal information service
ATPL	airline transport pilot's licence
CPL	commercial pilot's licence
CVR	cockpit voice recorder
FDR	flight data recorder
ICAO	International Civil Aviation Organization
IFR	instrument flight rules
MATS	New Zealand Manual of Air Traffic Services
nm	nautical mile
RA	Resolution Advisory
STAR	standard instrument arrival route
STCA	short-term conflict alert
TCAS	Traffic Collision Avoidance System
TCAS-RA	TCAS Resolution Advisory
VFR	visual flight rules

## Glossary Kuputaka

approach controller	the air traffic control position responsible for flights arriving at a terminal area
approach sequence	the order a controller arranges aircraft to approach to land at an airport. The sequence helps provide adequate time and distance between each aircraft until they have landed and cleared from the runway.
base leg	A flight path leg of a rectangular circuit pattern to an aerodrome that approaches the extended runway centre line at a perpendicular angle across the wind direction. It forms a base line from the end of downwind leg until the start of the turn for the final leg
Class C airspace	airspace in which IFR and VFR flights are permitted, all flights are provided with air traffic control services and IFR flights are separated from other IFR flights and from VFR flights. VFR flights are separated from IFR flights and receive traffic information in respect of other VFR flights
departure controller	the air traffic control position responsible for flights departing a terminal area
downwind leg	a flight path leg of a rectangular circuit pattern to an aerodrome that commences to one side of the upwind end of the runway, runs parallel to the runway in the downwind direction to the turn onto base leg
essential traffic	any controlled traffic that is not separated by the prescribed minima in relation to other controlled flights where separation is required
final approach	the last flight path leg of a rectangular circuit pattern to an aerodrome that follows the extended runway centreline, and proceeds into wind to the beginning of the landing flare
instrument flight rules	rules that allow properly equipped aircraft to be flown under 'instrument meteorological conditions' (conditions expressed in

	terms of visibility, distance from cloud, and ceiling less than the minima specified for visual meteorological conditions)
LYALL	the final approach waypoint, 6 nm from the threshold of Runway 34
loss of separation	This occurs when aircraft are separated both vertically and horizontally at less than the specified minimum for that controlled airspace.
pilot flying	the pilot responsible for controlling the aeroplane
pilot monitoring	the pilot responsible for monitoring the flight management and aeroplane control actions of the pilot flying, and carrying out support duties such as communications and checklist reading
Resolution Advisory	an indication given to flight crew recommending a manoeuvre intended to provide separation from all threats; or a manoeuvre restriction intended to maintain existing separation
tower controller	an air traffic control position responsible for movements at a controlled aerodrome
Traffic Collision Avoidance System	an aircraft system based on secondary surveillance radar (SSR) transponder signals, which operates independently of ground- based equipment to provide advice to pilots of potential conflicting aircraft that are equipped with SSR transponders
	An SSR is a surveillance radar system that uses transmitters/receivers (interrogators) and transponders
transponder	Electronic devices that produce responses when they receive radio-frequency interrogation. Aircraft have transponders to assist in their identification on air traffic control radar
TURAK	A STAR waypoint near Turakirae Head, located approximately 8 nautical miles south-east of Wellington Airport
visual approach	an approach to a runway at an airport conducted under instrument flight rules, but where the pilot proceeds by visual reference and clear of clouds to the airport

## Citations Ngā tohutoru

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## Appendix 1 Wellington International Airport (NZWN), New Zealand Aeronautical Information Publication (NZAIP)

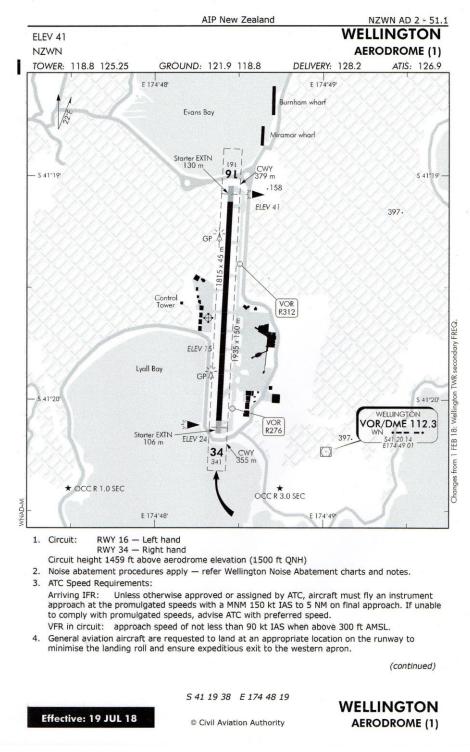
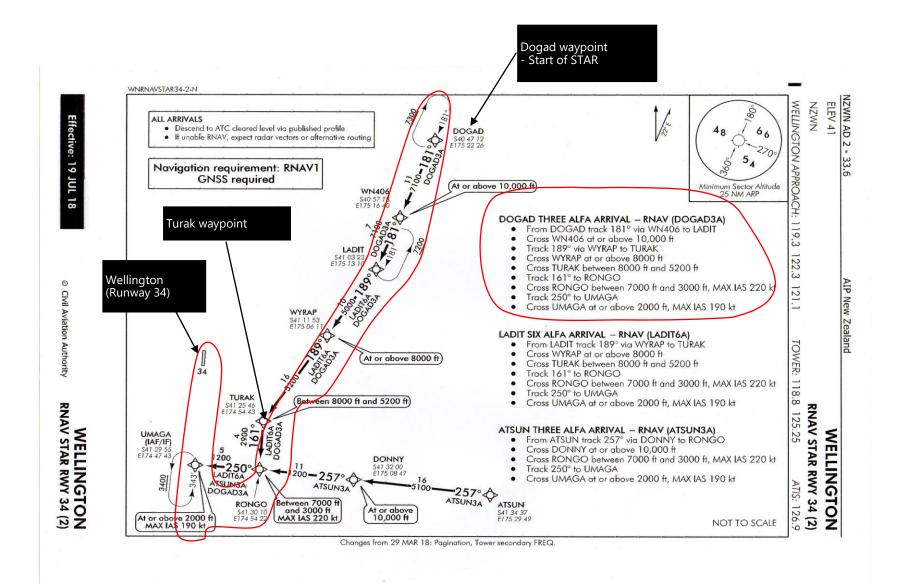
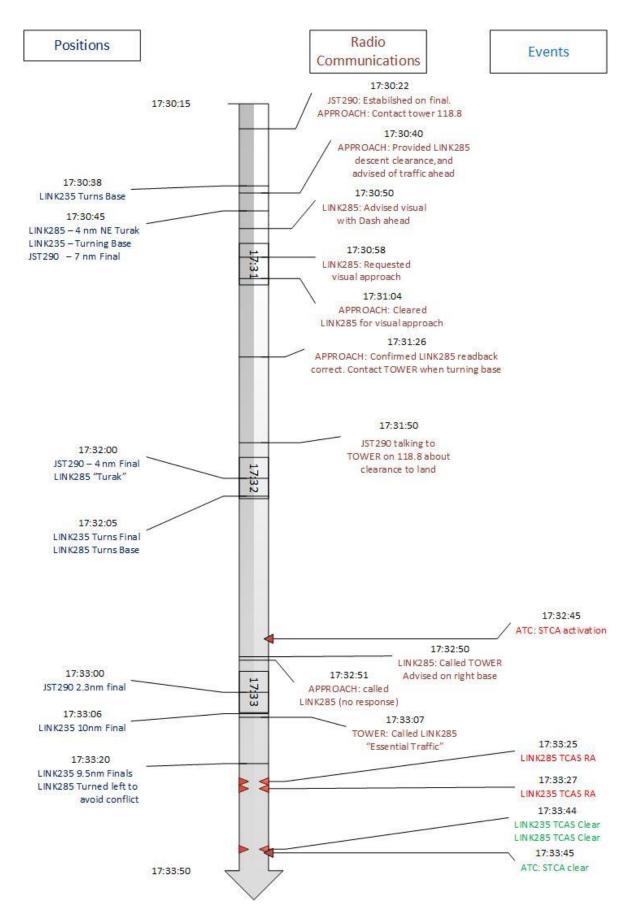


Figure 8: Wellington Aerodrome (credit NZAIP)



#### Figure 9: Area navigation STAR RWY 34 (2) (Credit: NZAIP)

## **Appendix 2 Timeline**



# Appendix 3 Air Nelson Standard Operating Procedures Extract – Flight Recorders and TCAS

Standard Operating Policies	Chapter 4
Crew Responsibility for Handling a Malfunction	Section 2

#### Flight Recorders

#### Cockpit Voice Recorder (CVR)

To preserve the recording of any serious incident occurring within the recording duration prior to landing, the crew should:

- On completion of the aircraft shutdown checklist deactivate the CVR by pulling the circuit-breaker.
- Make an entry in the Technical Log that the CVR circuit-breaker has been pulled. A Safety Report must be submitted.

#### Note:

Except as provided above, CVR circuit-breakers shall remain in at all times.

#### Flight Data Recorder (FDR)

The FDR deactivation following a serious incident or accident is not as necessary or critical as that of the CVR, therefore it shall not be switched off.

On completion of a flight for which a read-out of the FDR is required, a Technical Log entry to that effect must be made, and a Safety Report submitted.

4.2.2 SOPs Nov 14, 2013 Uncontrolled When Printed and/or Downloaded

### Standard Operating Policies Collision Avoidance Procedures

### Introduction

To avoid the increasing risk of mid-air collision, the following procedures are recommended:

- Maintain a comprehensive visual and listening watch when operating in terminal areas.
- Once sighting of another aircraft is acknowledged to ATC, flight crew are responsible for separation from that aircraft, so it is imperative to advise ATC immediately if sight of the traffic is lost.
- When operating in a high-density traffic area, every resource available should be fully utilised to ensure situational awareness is at a maximum.
- · All flight-deck crew should monitor ATC.

### TCAS

The Traffic Alert and Collision Avoidance System (TCAS) is designed to enhance crew awareness of nearby traffic by issuing advisories to aid visual acquisition. If necessary, TCAS will also display appropriate verticalmanoeuvre guidance to avoid potential collision.

In close proximity to other traffic, consideration should be given to reducing vertical rate in the last 1000ft prior to level-off.

#### Manoeuvring in Response to an RA

A TCAS RA shall always be complied with, unless following an RA would jeopardise the safe operation of the aircraft. Follow RA instructions until resolution is achieved.

#### Note:

Do not deviate from an assigned clearance based only on TA information.

### ATC

The crew must inform ATC, as soon as possible, when responding to an RA and again when clear of conflict.

ATC will not provide normal separation between an aircraft known to be responding to an RA and other aircraft, airspace, terrain or obstacles. Once the pilot advises "clear of conflict", ATC will make every endeavour to restore standard separation and an orderly flow of traffic.

ATC responsibility for maintaining separation resumes from the time that separation is re-established between all aircraft involved in the RA manoeuvre.





## Standard Operating Policies Collision Avoidance Procedures

#### Reporting

A Safety Report shall be submitted for all RA's.

#### TA ONLY Mode

TA ONLY mode may be used:

- In an engine out situation.
- · When directed to do so by a checklist.
- In accordance with the Route Guide.

## Appendix 4 Air New Zealand serious incident list

The following list of incidents that Air New Zealand consider serious was submitted to the Commission as an example extracted from their revised 2022, Q300 flight crew annual technical refresher training modules. The first bullet point describes the subject incident for this report (note that the revision status of the source document for this submitted extract was not provided at the time).

Emergency	Chapter EMG
Definitions	Section 2

## Incident Reporting

#### Serious Incident

The incidents listed below are typical examples of incidents that are likely to be serious incidents. The list is not exhaustive and only serves as guidance.

- Near collisions requiring an avoidance manoeuvre to avoid a collision or an . unsafe situation or when an avoidance action would have been appropriate.
- Controlled flight into terrain only marginally avoided.
- Aborted take-off on a closed or engaged runway.
- Take-off from a closed or engaged runway with marginal separation from obstacles.
- Landing or attempted landings on a closed or engaged runway.
- Gross failure to achieve predicted performance during take-off or climb.
- Fires and smoke in the passenger compartment, in cargo compartments, or engine fires, even though the fires were extinguished by the use of extinguishing agents.
- Events requiring the emergency use of oxygen by the flight crew.
- Aircraft structural failures or engine disintegrations not classified as an accident.
- Multiple malfunctions of one or more aircraft systems seriously affecting the operation of the aircraft.
- Flight crew incapacitation in flight.
- Fuel quantity requiring the declaration of an emergency by the pilot. .
- Take-off or landing incidents such as undershooting, overrunning, or running off the edges of runways.
- System failures, weather phenomena, operations outside the approved envelope or other occurrences which could have caused difficulties controlling the aircraft.
- Failures of more than one system, in a redundant system mandatory for flight guidance and navigation.

# Kōwhaiwhai - Māori scroll designs

TAIC commissioned its four kōwhaiwhai, Māori scroll designs, from artist Sandy Rodgers (Ngāti Raukawa, Tūwharetoa, MacDougal). Sandy began from thinking of the Commission as a vehicle or vessel for seeking knowledge to understand transport accident tragedies and how to avoid them. A 'waka whai mārama' (i te ara haumaru) is 'a vessel/vehicle in pursuit of understanding'. Waka is a metaphor for the Commission. Mārama (from 'te ao mārama' – the world of light) is for the separation of Rangitāne (Sky Father) and Papatūānuku (Earth Mother) by their son Tāne Māhuta (god of man, forests and everything dwelling within), which brought light and thus awareness to the world. 'Te ara' is 'the path' and 'haumaru' is 'safe' or 'risk free'.

### Corporate: Te Ara Haumaru - the safe and risk free path



The eye motif looks to the future, watching the path for obstructions. The encased double koru is the mother and child, symbolising protection, safety and guidance. The triple koru represents the three kete of knowledge that Tāne Māhuta collected from the highest of the heavens to pass their wisdom to humanity. The continual wave is the perpetual line of influence. The succession of humps represents the individual inquiries. Sandy acknowledges Tāne Māhuta in the creation of this Kōwhaiwhai.

### Aviation: Ngā hau e whā - the four winds



To Sandy, 'Ngā hau e whā' (the four winds), commonly used in Te Reo Māori to refer to people coming together from across Aotearoa, was also redolent of the aviation environment. The design represents the sky, cloud, and wind. There is a manu (bird) form representing the aircraft that move through Aotearoa's 'long white cloud'. The letter 'A' is present, standing for a 'Aviation'.

Sandy acknowledges Ranginui (Sky father) and Tāwhirimātea (God of wind) in the creation of this Kōwhaiwhai.

#### Maritime: Ara wai - waterways



The sections of waves flowing across the design represent the many different 'ara wai' (waterways) that ships sail across. The 'V' shape is a ship's prow and its wake. The letter 'M' is present, standing for 'Maritime. Sandy acknowledges Tangaroa (God of the sea) in the creation of this Kōwhaiwhai.

#### Rail: rerewhenua - flowing across the land



The design represents the fluid movement of trains across Aotearoa. 'Rere' is to flow or fly. 'Whenua' is the land. The koru forms represent the earth, land and flora that trains pass over and through. The letter 'R' is present, standing for 'Rail'.

Sandy acknowledges Papatūānuku (Earth Mother) and Tāne Mahuta (God of man and forests and everything that dwells within) in the creation of this Kōwhaiwhai.



#### Recent Aviation Occurrence reports published by the Transport Accident Investigation Commission (most recent at top of list)

AO-2020-002	Pacific aerospace Cresco 08-600, ZK-LTK, impact with terraine, Kourarau Hill, Masterton, 24 April 2020
AO-2019-003	Diamond DA42 aeroplane, impact with terrain, 22 nautical miles south-southeast of Taupo, Kaimanawa Ranges, 23 March 2019
AO-2018-005	MD Helicopters 600N, ZK-ILD, Engine control malfunction and forced landing, Ngamatea Station, 14 June 2018
AO-2018-001	Tandem parachute UPT Micro Sigma, registration 31Z, Double malfunction, Queenstown, 10 January 2018
AO-2018-006	Robinson R44, ZK-HTB Loss of control Stevensons Arm, Lake Wanaka 21 July 2018
AO-2017-009 and AO-2017-010	Commission resolution to close aviation inquiries Boeing 787, near Auckland, New Zealand, 5 and 6 December 2017
AO-2019-001	Airbus Helicopters AS350, ZK-HEX, Forced landing, Wakefield, Nelson, 17 February 2019
AO-2017-004	MBB BK117 A-3 helicopter, ZK-IED, Loss of control, Porirua Harbour, 2 May 2017
AO-2017-002	Robinson Helicopter Company R22, ZK-IHA, Impact with terrain, Near Reefton, 27 March 2017
AO-2017-003	ATR72, ZK-MCY, Landing gear failure, Nelson, 9 April 2017
AO-2015-003	Robinson R44, Main rotor blade failure, Waikaia, Southland, 23 January 2015
AO-2015-007	Airbus Helicopters AS350BA, ZK-HKU, Collision with terrain, Fox Glacier, 21 November 2015

Price \$19.00